# In Situ Survival of Genetically Engineered Microorganisms in a Tropical Aquatic Environment

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In this study, the survival of genetically engineered microorganisms (GEMs) and their interactions with the environmental microbiota of a tropical river was investigated. Diffusion chambers were used for the in situ survival experiments with a nonplasmid containing *Escherichia coli* DH1 strain and two model GEMs, *E. coli* JM103 containing a 2.6 kilobase plasmid (pUC9) and *E. coli* DH1 with a 4.8 kb plasmid (pWTAla5'). Pure culture survival studies indicated that after a week in the environment a 1.0 log<sub>10</sub> decrease in bacterial numbers occurred for both *E. coli* DH1, while a 3.0 log<sub>10</sub> reduction was observed for *E. coli* JM103. However, a reduction of 4.0 log<sub>10</sub> was observed for the *E. coli* DH1 (pWTAla5') when placed in a chamber conjointly with the resident microbiota. The data suggest that the presence of a plasmid makes no difference on the survival time of GEMs, whereas the presence of competing bacteria is ultimately what limits the survival time of GEMs in the environment. © 1996 by John Wiley & Sons, Inc.

# INTRODUCTION

An accidental or deliberate release of genetically engineered microorganisms (GEMs) into the environment can be a possible source of biological contamination of ground or surface waters. Although there seem to be many promising applications of GEMs in agriculture, industry, and medicine, their use has been limited so far by environmental concerns (Bentjen et al., 1989). Fundamental concerns regarding GEMs in the environment include the ability of these organisms to survive, to compete with the indigenous microbiota, and the possible transfer of their manipulated DNA to other microorganisms. Prediction of the fate of the GEMs and their engineered DNA in natural systems is a major component of a complete risk assessment process (Cuskey, 1990).

Previous work in our laboratory has shown the efficacy of using in situ diffusion chambers for bacterial survival. Some of these studies have been conducted with diverse eukaryotic and prokaryotic microorgan-

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isms such as *Escherichia coli* (Carrillo et al., 1985), *Klebsiella* (Lopez et al., 1988), and *Aeromonas* (Biamon and Hazen, 1983). These studies were conducted under various environmental conditions, in areas polluted by pulp mill, rum distillery, as well as domestic and industrial sewage effluents. The use of these chambers allows for the diffusion of soluble salts as well as organic material while preventing the escape of bacteria even after these have undergone morphological changes to become ultramicrocells in response to nutrient limitation.

By using diffusion chambers it is possible to expose microorganisms to environmental conditions without an actual release. This allows us to determine the survival time of the exposed microorganisms and their confinement allows for studies regarding the possible transfer of the manipulated nucleic acid to the indigenous microflora. The purpose of this study was to determine the survival rates and potential for gene transfer of two model GEMs organisms and a nonplasmid-containing strain.

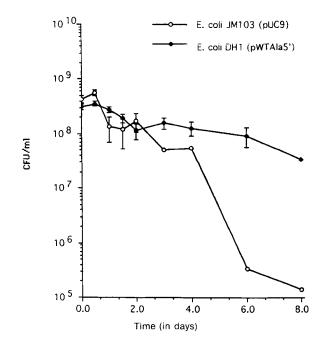
# MATERIALS AND METHODS

# **Bacterial Strains**

Strains used in this study as model for GEMs were as follows: (1) *E. coli* DH1 containing the pWTAla5' plasmid. This is a 4.8 kilobase (kb) pBR322 derivative that contains a 437 base-pair (bp) sequence coding for the tRNA<sup>ala</sup> gene isolated from *Bombyx mori* (Larson et al., 1983). (2) *Escherichia coli* JM103 containing the pUC9 plasmid, a 2.6 kb plasmid which contains a 147 bp U1snRNA gene coding insert from the sea urchin *Lytechinus variegatus* (Morris and Marzluff, 1985). (3) A nonplasmid containing *E. coli* DH1.

# In Situ Survival of GEMs

In situ survival experiments were carried out in the La Mina River located in the Caribbean National Rain Forest of "El Yunque," using survival chambers (Mc-Feters and Stuart, 1972). The river, characterized by pristine waters, begins at an elevation of about 1000 m above sea level, in the Palma Sierra forest, and receives about 762 cm of rain each year (Lopez et al., 1988). In situ studies were conducted during the months of November and January. Each plexiglass diffusion chamber has a capacity greater than 100 mL. The diffusion surface was created by a 0.45  $\mu$ m, 142 mm diameter, Nylon reinforced Versapor 450 membrane filter (Gelman, Ann Arbor, MI). All strains were grown in luria broth at 37°C and harvested at midlog phase. Sterile chambers were loaded with a concentration of 10<sup>9</sup>



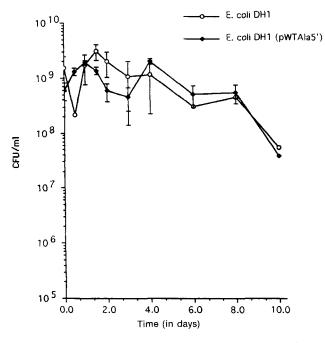
**Fig. 1.** In situ survival of *Escherichia coli* JM103 (pUC9) and *E. coli* DH1 (pWTAIa5') in the La Mina River. Each strain was loaded in pure culture ( $10^9$  CFU/mL) in separate diffusion chambers and sampled at increasing intervals to measure bacterial decay. Data are expressed as the mean  $\pm$  standard error.

colony forming units (CFU)/mL, and were sampled at increasing selected time intervals to determine its survival time, estimated time to decrease by 90%, or one order of magnitude (Jimenez et al., 1989). Each trial consisted of duplicate chambers and was repeated at least twice.

Viable count methods were used to determine bacterial concentrations (American Public Health Association, 1985). The possible exchange of recombinant plasmids between GEMs and the resident microflora was monitored by antibiotic-containing selective media and nucleic acid probes using colony hybridization (Sambrook et al., 1989). Samples from each diffusion chamber were plated and incubated at 37°C. Colonies were replica-transferred onto nitrocellulose, and cells were lysed by alkali treatment and processed for hybridization (Sambrook et al., 1989; Toranzos and Alvarez, 1989). After hybridization and high stringency washes, hybridized signals were detected by autoradiography.

## **RESULTS AND DISCUSSION**

In the first survival experiment  $10^{9}/CFU/mL$  of the GEMs strains *E. coli* DH1 (pWTAla5') and *E. coli* JM103 (pUC9) were loaded as pure cultures into sepa-



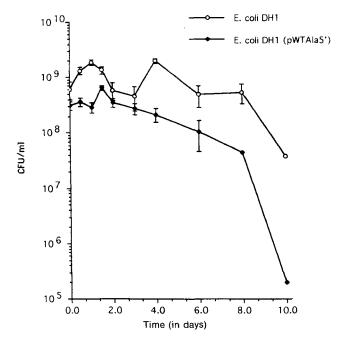
**Fig. 2.** In situ survival of *E. coli* DH1 and *E. coli* DH1 (containing pWTAla5') in the La Mina River. Each strain was loaded in pure culture ( $10^9$  CFU/mL) in separate diffusion chambers and sampled at increasing intervals to measure bacterial decay. Data are expressed as the mean  $\pm$  standard error.

rate chambers. Even though both are members of the same genus and species, they contain different plasmids. After eight days in the environment, results showed that one of the GEMs survived longer than the other (Fig. 1). A  $3.0 \log_{10}$  decrease in bacterial numbers were observed for *E. coli* JM103, while the *E. coli* DH1 showed a decrease of  $1.0 \log_{10}$ . These results seem to indicate that some GEMs are less likely to survive in the environment, diminishing the possibility of gene transfer from the GEMs to the resident microbiota.

In a second experiment, diffusion chambers were loaded with E. coli DH1 (pWTAla5') at 109 CFU/mL and a nonplasmid containing E. coli DH1 strain. After a week in the environment under similar conditions a 10-fold decrease in bacterial numbers was observed for both strains (Fig. 2). However, when both strains were loaded in different chambers containing water from the La Mina River study site (containing 10<sup>7</sup> CFU/mL of indigenous bacteria), a 1000-fold reduction for the DH1 (pWTAla5') occurred, compared with a 10-fold reduction for the nonplasmid-containing DH1 strain (Fig. 3). These results suggest that the presence of competing bacteria may limit the survival time of GEMs in the environment. In addition, there was no detectable transfer of genetic information from the GEMs to the resident microflora either by antibiotic resistance tracers or by colony hybridization using radioactively labeled specific probes (data not shown).

Releasing GEMs to the environment requires a clear understanding of their behavior and survival within a microbial community. The ability of GEMs to survive under environmental conditions has been reported elsewhere (Awong et al., 1990; Devanas and Stotzky, 1986; Winstanley et al., 1991). The present study indicates that two strains of GEMs exhibit different survival patterns under the same environmental conditions in spite of the fact that both are members of the genus Escherichia. These bacteria contain different plasmids (pUC9 and pWTAla5') that endow them with different properties. Plasmid size, antibiotic resistance, and plasmid copy number per cell are the most obvious differences between these plasmids. The presence of nonrequired plasmid DNA has been previously shown to affect the survival times of some bacteria in environmental studies (Jain et al., 1988). Results obtained in this study seem to fit the same pattern.

Differences in survival rates between these GEMs indicate that some GEMs are less resilient in the environment. This diminishes the possibilities of genetic transfer from the GEMs to the resident microflora. Our study showed no transfer of genetic information from the GEMs to indigenous microflora. Similar results



**Fig. 3.** In situ survival of *E. coli* DH1 and *E. coli* DH1 (pWTAIa5') in the La Mina River. Each strain ( $10^9$  CFU/mL) was mixed with river water containing  $10^7$  CFU/mL of naturally occurring bacteria, loaded in separate diffusion chambers, and sampled at increasing intervals to measure bacterial decay. Data are expressed as the mean  $\pm$  standard error.

have been described previously (Devanas et al., 1986). This is to be expected, since both plasmids are nonconjugative and poorly mobilizable. This is one of the main reasons why they are used most often in genetic recombination experiments (Jain et al., 1988). This study indicates that the use of the least resistant strains and the least mobilizable plasmids should be used if they are to be released into the environment. Our results suggest that one should not try to predict survival times of a genetically engineered microorganism based on experiments conducted with another. Thus, GEMs must be evaluated on an individual basis prior to their release into the environment.

In order to determine if, in fact, the genetic differences contributed to the different survival times, in situ parallel experiments were run with a plasmid-containing and a plasmid-free strain. After a week in the environment in diffusion chambers, no differences in survival were observed between the plasmid-containing and plasmid-free strains. However, when both strains were exposed to resident microflora a significant difference in the survival time of the GEM (plasmidbearing strain) was observed.

Whereas our studies suggest that the presence of the plasmid makes no difference on the survival of the two strains under pure culture conditions, the presence of competing bacteria seems to be limiting their survival time in the environment. Similar results have been reported by Amy and Hiatt (1989) and Devanas et al. (1986), among others. Their studies have shown that, except in cases where the plasmid conferred a selective advantage, its presence had little effect on the survival of the bacterial host. In the presence of competing bacteria the plasmid may become a biosynthetic burden—hence, the plasmid-containing bacteria may be at a disadvantage. This proposal is based on studies in which cells exhibited an enhanced growth rate upon loss of their plasmid (Morgan et al., 1989).

Our observations contrast with those suggested by Marshall et al. (1988) and Awong et al. (1990), who indicated that the plasmid-containing GEMs survived better, or as well as their plasmid-free counterparts. These contradicting data indicate the need for further research on the survival of recombinant microorganisms, including strain-specific studies. These should be conducted under environmental conditions that simulate, as closely as possible, the environment into which they will be released. The advantages of using diffusion chambers are simplicity, ease in adjusting for environmental variables, and the fact that the organisms are continuously exposed to the test water.

In conclusion, in situ survival experiments demonstrated that GEMs must be evaluated individually if they are to be released into the environment, and that the presence of competing bacteria may ultimately limit the survival of genetically engineered microorganisms in the environment.

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